

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Takahiro FUJIWARA, Hideki NARA, Tsukasa SOTOGUCHI

Serial No.: Not Yet Assigned [Express Mail Label No. EV343733341US]

Filed: Herewith

For: PROCESS FOR THE PRODUCTION OF OPTICALLY ACTIVE AMINO  
ALCOHOLS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with the provisions of 37 C.F.R. §§1.56 and 1.97, Applicants herewith submit the publications and/or patents shown on the attached form PTO-1449, for consideration by the Examiner in connection with the examination of the above-identified patent application.

**REMARKS**

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed:

- X   (1) within three (3) months of the Filing Date or **before the mailing date of the First Office Action** on the merits; or
- (2) within three months of the mailing date of the Written Opposition issued by the: \_\_\_\_\_ Patent Office (dated \_\_\_\_\_); or
- (3) after the period defined in (1) but before the mailing date of a Final Rejection or Notice of Allowance, and the requisite Certification or fee under Rule 1.17(p), namely \$240.00, is included herein; or
- (4) after the mailing date of a Final Rejection or Notice of Allowance but before the payment of the Issue Fee, and the requisite Certification, petition, and petition fee are included herein.

It is respectfully requested that each of the documents shown on the attached form(s) PTO-1449 be made of record in this application. Copies of these documents (CHECK ONE):

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Page 2

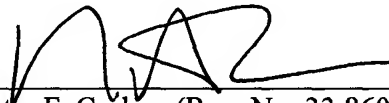
X are enclosed herewith; or  
— have been cited in the parent application, and are thus not being resubmitted  
herein.

Early examination and allowance of the present application are respectfully solicited.

#### **FEE AUTHORIZATION**

Should any fees associated with the submission be required, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 04-1105. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,



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Date: September 4, 2003

<b>FORM PTO-1449</b>			<b>DOCKET NO.:</b> 59585 (71526)		<b>SERIAL NO.:</b> Not Yet Assigned		
<b>INFORMATION DISCLOSURE STATEMENT</b>			<b>APPLICANT(S):</b> T. Fujiwara et al.				
			<b>FILING DATE:</b> Herewith		<b>GROUP NO.:</b> Not Yet Assigned		
<b>UNITED STATES PATENT DOCUMENTS</b>							
<b>EXAM. INITIALS</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>FILING DATE IF APPROPRIATE</b>
<b>FOREIGN PATENT DOCUMENTS</b>							
		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>TRANSLATION YES/NO</b>
	AA	2000-016997	1/18/00	JAPAN			
	AB	11-269185	10/5/99	JAPAN			
	AC	10-182678	7/7/98	JAPAN			
	AD	04-139140	5/13/92	JAPAN			
	AE	62-265293	11/18/87	JAPAN			
	AF	61-063690	4/1/86	JAPAN			
<b>OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)</b>							
	AG	Priority Document Number 625679					
	AH	Mashima et al., "Synthesis of New Cationic BINAP-Ruthenium (II) Complexes and their Use in Asymmetric Hydrogenation[BINAP=2,2'-bis(diphenylphosphino)-1,1'-binaphthyl]", J. CHEM. SOC., CHEM. COMMUN, PP. 1208-1210, (1989)					
	AI	Ami et al., "Lipase-catalyzed Kinetic Resolution of (+) – trans-and cis-2-Azidocycloalkanols". BIOSCI. BIOTECHNOL. BIOCHEM., 63 (12), pp. 2150-2156, (1999)					
	AJ	Bertau et al., "A Novel Highly Stereoselective Synthesis of Chiral 5- and 4, 5-Substituted 2-Oxazolidinones", TETRAHEDRON: ASYMMETRY 12 pp. 2103-2107, (2001)					
	AK	Overman et al., "A Convenient Method for Obtaining trans-2-Aminocyclohexanol and trans-2-Aminocyclopentanol in Enantiomerically Pure Form", J.ORG. CHEM., Vol. 50, pp. 4154-4155, (1985)					
	AL	Coe et al., "Potassium trimethylsilanolate Induced Cleavage of 1,3-oxazolidin-2-and 5-ones, and Application to the Synthesis of @-Salmeterol", ORG. BIOMOL. CHEM, Vol. 1, pp. 1106-1111, (2003)					
	AM	Greene et al., "Protective Groups in Organic Synthesis", Second Edition, pp. 314-341					
<b>Examiner:</b>					<b>Date:</b>		